

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

- (i) \* Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- \* Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) \*e-mail ID of the company
- (d) \*Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv) 

Type of the Company	Category of the Company	Sub-category of the Company
Private Company	Company limited by shares	Indian Non-Government company
- (v) Whether company is having share capital  Yes  No
- (vi) \*Whether shares listed on recognized Stock Exchange(s)  Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

(e) Extended due date of AGM after grant of extension

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	B	Mining and quarrying	B5	Mining Support Services activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	GEOVALE SERVICES PRIVATE LI	U74900WB2013PTC196824	Subsidiary	60
2	MAA TARANI LOGISTICS LIMITE	U45201OR2006PLC008718	Subsidiary	58.28
3	THRIVENI SAINIK MINING PRIVA	U74900HR2015PTC057396	Subsidiary	74
4	THRIVENI APPARELS AND TEXT	U17299JH2016PTC009265	Subsidiary	100
5	THRIVENI RAMKA MINING PRIV	U10300HR2017PTC067983	Subsidiary	51
6	THRIVENI PELLETS PRIVATE LIM	U13209OR2017PTC027900	Subsidiary	51
7	KJS PELLETS & POWER PRIVATE	U27100OR2011PTC013504	Subsidiary	51
8	THRIVENI SAINIK PBNW PRIVAT	U14294DL2020PTC362932	Subsidiary	60
9	STK ENERGIES PRIVATE LIMITE	U10100DL2019PTC357898	Subsidiary	51

10	THRIVENI RESOMIN PTE LIMITE		Subsidiary	100
11	THRIVENI INTERNATIONAL LIM		Subsidiary	100
12	GEOMYSORE SERVICES INDIA P	U74899KA1994PTC044275	Associate	40.68
13	LLOYDS METALS AND ENERGY	L40300MH1977PLC019594	Associate	29.74

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	2,500,000	2,105,435	2,105,435	2,105,435
Total amount of equity shares (in Rupees)	250,000,000	210,543,500	210,543,500	210,543,500

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	2,500,000	2,105,435	2,105,435	2,105,435
Nominal value per share (in rupees)	100	100	100	100
Total amount of equity shares (in rupees)	250,000,000	210,543,500	210,543,500	210,543,500

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	1,047,499	1,057,936	2105435	210,543,500	210,543,500	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	
iv. Private Placement/ Preferential allotment	0	0	0	0	0	
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	1,047,499	1,057,936	2105435	210,543,500	210,543,500	
<b>Preference shares</b>						

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="30/11/2022"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	
Ledger Folio of Transferee		<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	53,000	100000	5,300,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			5,300,000,000

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	3,000,000,000	2,300,000,000	0	5,300,000,000
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>	<input type="text"/>		<input type="text"/>		<input type="text"/>

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

39,498,700,000

**(ii) Net worth of the Company**

20,577,414,793.62

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,420,355	67.46	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	1,420,355	67.46	0	0

**Total number of shareholders (promoters)**

5

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	384,128	18.24	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	300,952	14.29	0	
10.	Others	0	0	0	
	<b>Total</b>	685,080	32.53	0	0

**Total number of shareholders (other than promoters)**

7

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

12

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	5	5
Members (other than promoters)	8	7
Debenture holders	2	2

## VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### (A) \*Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	0	2	0	41.66	0
<b>B. Non-Promoter</b>	0	2	0	2	0	14.15
(i) Non-Independent	0	2	0	2	0	14.15
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	1	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	1	0	0	0	0
<b>Total</b>	<b>2</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>41.66</b>	<b>14.15</b>

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

5

### (B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
BALASUBRAMANIAN F	01428366	Managing Director	298,919	
BALASUBRAMANIYAN	01428395	Whole-time director	578,261	
ANSHUMAN PATNAIK	00600573	Director	148,956	
ANURAG PATNAIK	00600639	Director	148,955	
CHITTARANJAN JENA	AHSPJ4924B	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
PAURUSH ROY	03038347	Director	13/06/2022	Cessation

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/11/2022	12	4	33.33
Extra Ordinary General Meeting	12/05/2022	13	5	38.46

### B. BOARD MEETINGS

\*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	29/04/2022	5	3	60
2	24/08/2022	4	3	75
3	30/09/2022	4	4	100
4	15/11/2022	4	4	100
5	30/11/2022	4	4	100
6	14/12/2022	4	4	100
7	23/01/2023	4	4	100
8	27/03/2023	4	4	100

### C. COMMITTEE MEETINGS

Number of meetings held

1

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	CORPORATE	13/12/2022	2	2	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	20/11/2023
								(Y/N/NA)
1	BALASUBRAMANIAN	8	8	100	1	1	100	Yes
2	BALASUBRAMANIAN	8	7	87.5	1	1	100	Yes
3	ANSHUMAN PATNAIK	8	8	100	0	0	0	Yes
4	ANURAG PATNAIK	8	7	87.5	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	BALASUBRAMANIAN	MANAGING DIRECTOR	45,000,000	0	0	0	45,000,000
2	BALASUBRAMANIAN	WHOLE TIME DIRECTOR	40,000,000	0	0	0	40,000,000
3	ANSHUMAN PATNAIK	DIRECTOR	12,000,000	0	0	0	12,000,000
4	ANURAG PATNAIK	DIRECTOR	12,000,000	0	0	0	12,000,000
	Total		109,000,000	0	0	0	109,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	CHITTARANJAN JENA	COMPANY SECRETARY	1,260,000	0	0	0	1,260,000
	Total		1,260,000	0	0	0	1,260,000

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Surendra Kumar Sahoo

Whether associate or fellow

Associate  Fellow

Certificate of practice number

18385

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ..  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

Digitally signed by  
BALASUBRAMANIAN  
PRABHAKARAN  
Date: 2023.12.11  
17:16:32 +05'30'

DIN of the director

**To be digitally signed by**

Digitally signed by  
CHITTARANJAN  
NJAN JENA  
Date: 2023.12.11  
17:31:17 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

**List of attachments**

TEMPL List of Shareholders.pdf  
TEMPL List of Debenture Holders.pdf  
TEMPL Details of Transfer of Shares.pdf  
TEMPL-Approval letter for extention of AGMGT-8-FINAL-TEMPL-SIGNED-f.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**MINISTRY OF CORPORATE AFFAIRS****RECEIPT****G.A.R.7****SRN : F87472643****Service Request Date : 11/12/2023****Payment made into : ICICI Bank****Received From :****Name :** CHITTARANJAN JENA**Address :** 301-302, Utkal Signature Tower,

3rd Floor, NH-5, Pahala,

Bhubaneswar, Orissa

IN - 752101

**Entity on whose behalf money is paid****CIN:** U60231TZ1999PTC008876**Name :** THRIVENI EARTHMOVERS PRIVATE LIMITED**Address :** NO 22/110GREENWAYS ROAD FAIRLANDS NA

SALEM, Tamil Nadu

India - 636016

**Full Particulars of Remittance****Service Type:** eFiling

<b>Service Description</b>	<b>Type of Fee</b>	<b>Amount(Rs.)</b>
Fee for Form MGT-7 for the financial year ending on 2023	Normal	600.00
<b>Total</b>		<b>600.00</b>

**Mode of Payment:** Credit Card- ICICI Bank**Received Payment Rupees:** Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)



THRIVENI EARTHMOVERS PRIVATE LIMITED  
LIST OF SHAREHOLDERS AS ON 31ST MARCH, 2023

SL. NO.	NAME OF SHAREHOLDERS	NO. OF SHARES	PER VALUE OF SHARES (RS.)	TOTAL VALUE OF SHARES (RS.)	PERCENTAGE OF SHARES
1	Balasubramanian Prabhakaran	2,98,919	100.00	2,98,91,900.00	14.1975
2	Balasubramanian Karthikeyan	5,78,261	100.00	5,78,26,100.00	27.4652
3	Balasubramanian Vasuki	2,43,115	100.00	2,43,11,500.00	11.5470
4	Palanivelugounder Balasubramanian	60	100.00	6,000.00	0.0028
5	Prabhakaran Sooryanarayanan	3,00,000	100.00	3,00,00,000.00	14.2488
6	Anshuman Patnaik	1,48,956	100.00	1,48,95,600.00	7.0748
7	Anurag Patnaik	1,48,955	100.00	1,48,95,500.00	7.0748
8	Aryan Mining & Trading Corpn Private Limited	2,50,952	100.00	2,50,95,200.00	11.9192
9	Indrani Patnaik	86,207	100.00	86,20,700.00	4.0945
10	Sudhir Kumar Sinha	5	100.00	500.00	0.0002
11	Himangini Singh	5	100.00	500.00	0.0002
12	Hunch Ventures and Investment Private Limited	50,000	100.00	50,00,000.00	2.3748
<b>Total</b>		<b>21,05,435</b>		<b>21,05,43,500.00</b>	<b>100.0000</b>

For THRIVENI EARTHMOVERS PRIVATE LIMITED

Chittaranjan Jena  
Company Secretary  
ICSI Membership No.: A25067

**Thriveni Earthmovers Private Limited**

CIN: U60231TZ1999PTC008876

Regd. Office: 22/110, Greenways Road, Fairlands, Salem - 636 016, Tamilnadu, India  
Ph/Fax: 0427 - 2447667 / 2445909 | email: info@thriveni.com | Website: www.thriveni.com

**EQUITY SHARE TRANSFER DETAILS FOR THE YEAR 2022-23**

Sl. No.	Date of Lodgments of Share Transfer	Ledger Folio No.	Name of Shareholders (Transferor)	No. of Shares Transferred	Certificate No.	Name of Shareholders (Transferee)
1	07.06.2022	NR-1	North Country Investments, Mauritius	20,658	112, 153, 154, 155 & 156	Balasubramanian Prabhakaran

For THRIVENI EARTHMOVERS PRIVATE LIMITED



Chittaranjan Jena  
Company Secretary  
ICSI Membership No.: A25067

**Thriveni Earthmovers Private Limited**

CIN: U60231TZ1999PTC008876

Regd. Office: 22/110, Greenways Road, Fairlands, Salem - 636 016, Tamilnadu, India  
Ph/Fax: 0427 - 2447667 / 2445909 | email: info@thriveni.com | Website: www.thriveni.com



THRIVENI EARTHMOVERS PRIVATE LIMITED

LIST OF NON-CONVERTIBLE DEBENTURES AS ON 31ST MARCH, 2023

SL. NO.	NAME OF NON-CONVERTIBLE DEBENTURE HOLDERS	NO. OF NON-CONVERTIBLE DEBENTURES	PER VALUE OF NON-CONVERTIBLE DEBENTURES (RS.)	TOTAL VALUE OF NON-CONVERTIBLE DEBENTURES (RS.)	PERCENTAGE OF NON-CONVERTIBLE DEBENTURES
1	ESOF III Investment Fund	50,023	1,00,000.00	500,23,00,000/-	94.38
2	Edelweiss Alternative Asset Advisors Limited	2,977	1,00,000.00	29,77,00,000/-	5.62
	<b>Total</b>	<b>53,000</b>		<b>530,00,00,000/-</b>	<b>100.00</b>

For THRIVENI EARTHMOVERS PRIVATE LIMITED

Chittaranjan Jena  
Company Secretary  
ICSI Membership No.: A25067

**Thriveni Earthmovers Private Limited**

CIN: U60231TZ1999PTC008876

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**Form No. MGT-8**

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of  
Companies (Management and Administration) Rules, 2014]**

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records, books and papers of M/s **Thriveni Earthmovers Private Limited (CIN:-U60231TZ1999PTC008876)** as required to be maintained under the Companies Act, 2013 (the Act) the rules made thereunder for the financial year ended on 31.03.2023. In my opinion and to the best of my information and according to the examinations carried out by me explanations furnished to me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies in the prescribed time or within the extended time with payment of additional fees.

4. Calling/ convening/ holding meetings of Board of Directors and its committees on due dates as stated in the annual return in respect which meetings, notices were given and the proceedings have been recorded in the Minute Book/registers maintained for the purpose.
5. Register of Members is not required to be closed by the Company.
6. The Company has not advanced any loans to its directors and/ or persons or firms or companies referred in section 185 of the Act.
7. The company has entered into transaction with related parties prescribed under section 188 of the Companies Act, 2013 read with Companies (Meeting of Board & its powers) Rules, 2014 which is in the ordinary course of business.
8. During the year under review, there are transfer of shares, which are in compliance with the provisions of the Act.

Further, during the year under review, the company has offered, issued and allotted 23,000 unrated, unlisted, secured, redeemable non-convertible debentures with the face value Rs.1,00,000/- aggregating up to INR 230,00,00,000 (Indian Rupees Two Hundred Thirty Crores) on a private placement basis (Debentures) for inter alia, refinancing of loans availed by the Company and for general corporate purposes to certain specific investors (Debenture Holders).

Except the above, there were no issue or allotment or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates during the financial year.

**Surendra  
Kumar  
Sahoo** Digitally signed  
by Surendra  
Kumar Sahoo  
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9. The Company was not required to keep in abeyance the rights to dividend, Rights Shares and Bonus Shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. The company was not required to comply with provision of Declaration/ payment of dividend; the company is not required to transfer any unpaid/ unclaimed dividend/other amounts to the Investor Education and Protection Fund in accordance with section 125 of the Act.
11. The Audited Financial Statements were duly signed as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.
12. During the year, constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them are in compliance with the provisions of the Act.;
13. During the year, appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions section 139 of the Act.
14. The Company was not required to obtain any approvals from the Central Government, Tribunal, Regional Director, Registrar of Companies, Court or such other authorities under various provisions of the Act as there were no instances during the financial year. However the Company has taken approval from the Registrar of Companies for the extension of holding Annual General Meeting for the year vide order dated 15.09.2023.
15. The Company has not accepted any deposit during the year.

Surendra  
Kumar  
Sahoo

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Sahoo  
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**P. K. PANDA & CO.**

*Practicing Company Secretaries*

*New No. 30, Old No. 43,*

*1<sup>st</sup> Main Road East,*

*Shenoy Nagar East,*

*Chennai – 600030,*

*Phone No. : 044–2621 2287,*

*E-mail: [info@pkpanda.in](mailto:info@pkpanda.in)*

16. During the financial year, the Company has borrowed money from public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, is in compliance with the provisions of the Act.
17. The Company has granted Loans to related parties and made investment in subsidiaries, the same is in compliance with the provisions of the Companies Act, 2013 and rules made thereunder.
18. The Company has not altered of the provisions of the Memorandum and/ or Articles of Association of the Company.

**Place: Chennai**

**Date: 11.12.2023**

**For P.K.Panda & Co.,**

**Practicing Company Secretaries**

**Kumar**

**Sahoo**

**CS Surendra Kumar Sahoo**

**Partner**

**ACS-19368, CP No.-18385**

**UDIN:- A019368E002904118**

**PR Certificate No. 1673/2022**

Digitally signed by  
Surendra Kumar  
Sahoo  
Date: 2023.12.11  
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**P. K. PANDA & CO.**

*Practicing Company Secretaries*

*New No. 30, Old No. 43,*

*1<sup>st</sup> Main Road East,*

*Shenoy Nagar East,*

*Chennai – 600030,*

*Phone No. : 044–2621 2287,*

*E-mail: [info@pkpanda.in](mailto:info@pkpanda.in)*

Annexure A to Form MGT-8

To,

**M/s Thriveni Earthmovers Private Limited**

Our Certificate on the Annual Return (Form MGT-8) of even date is to be read alongwith the letter.

1. Maintenance of Secretarial Record is the responsibility of the Management of the company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and process as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial Records. The verification was done on test basis to ensure the correct facts are reflected in the Annual Report (Form MGT-7). We believe that the process and practice we followed, provide a reasonable basis for our opinion.
3. The compliances of the provisions of the Companies Act, 2013, rules, regulations, standards is the sole responsibility of Management. Our examination was limited to the verification of records on test basis.

Place: Chennai

Date: 11.12.2023

**For P.K.Panda & Co.,  
Practicing Company Secretaries**

**Surendra**

**Kumar Sahoo**

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Kumar Sahoo  
Date: 2023.12.11 16:17:57 +05'30'

**CS Surendra Kumar Sahoo**

**Partner**

**ACS-19368, CP No.-18385**

**UDIN: A019368E002904118**

**PR Certificate No. 1673/2022**