

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)

| Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-------------------------------|
| Private Company | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

(e) Extended due date of AGM after grant of extension

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|------------------------------------|------------------------------|
| 1 | B | Mining and quarrying | B5 | Mining Support Services activities | 100 |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

| S.No | Name of the company | CIN / FCRN | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|------------------------------|-----------------------|--|------------------|
| 1 | GEOVALE SERVICES PRIVATE LI | U74900WB2013PTC196824 | Subsidiary | 60 |
| 2 | MAA TARANI LOGISTICS LIMITE | U45201OR2006PLC008718 | Subsidiary | 58.26 |
| 3 | SUMRIT METALIKS PRIVATE LIM | U51420OR2003PTC020084 | Subsidiary | 61.66 |
| 4 | THRIVENI SAINIK MINING PRIVA | U74900HR2015PTC057396 | Subsidiary | 51 |
| 5 | THRIVENI APPARELS AND TEXT | U17299JH2016PTC009265 | Subsidiary | 99 |
| 6 | THRIVENI RAMKA MINING PRIV | U10300HR2017PTC067983 | Subsidiary | 51 |
| 7 | THRIVENI PELLETS PRIVATE LIM | U13209OR2017PTC027900 | Subsidiary | 51 |
| 8 | KJS PELLETS & POWER PRIVATE | U27100OR2011PTC013504 | Subsidiary | 51 |
| 9 | THRIVENI SAINIK PBNW PRIVAT | U14294DL2020PTC362932 | Subsidiary | 60 |

| | | | | |
|----|--|-----------------------|------------|-------|
| 10 | STK ENERGIES PRIVATE LIMITED | U10100DL2019PTC357898 | Subsidiary | 51 |
| 11 | THRIVENI LLOYDS MINING PRIVATE LIMITED | U14290MH2020PTC340076 | Subsidiary | 60 |
| 12 | THRIVENI MINERALS MOZAMBIQUE PRIVATE LIMITED | | Subsidiary | 100 |
| 13 | THRIVENI RESOMIN PTE LIMITED | | Subsidiary | 100 |
| 14 | THRIVENI INTERNATIONAL LIMITED | | Subsidiary | 100 |
| 15 | GEOMYSORE SERVICES INDIA PRIVATE LIMITED | U74899KA1994PTC044275 | Associate | 39.29 |

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares | 2,500,000 | 1,947,657 | 1,947,657 | 1,947,657 |
| Total amount of equity shares (in Rupees) | 250,000,000 | 194,765,700 | 194,765,700 | 194,765,700 |

Number of classes

1

| Class of Shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Number of equity shares | 2,500,000 | 1,947,657 | 1,947,657 | 1,947,657 |
| Nominal value per share (in rupees) | 100 | 100 | 100 | 100 |
| Total amount of equity shares (in rupees) | 250,000,000 | 194,765,700 | 194,765,700 | 194,765,700 |

(b) Preference share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares | 0 | 0 | 0 | 0 |
| Total amount of preference shares (in rupees) | 0 | 0 | 0 | 0 |

Number of classes

0

| Class of shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| | | | | |
| Number of preference shares | | | | |
| Nominal value per share (in rupees) | | | | |
| Total amount of preference shares (in rupees) | | | | |

(c) Unclassified share capital

| Particulars | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0 |

(d) Break-up of paid-up share capital

| Class of shares | Number of shares | | | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|-------|---------|----------------------|----------------------|---------------|
| | Physical | DEMAT | Total | | | |
| Equity shares | | | | | | |
| At the beginning of the year | 1,947,657 | 0 | 1947657 | 194,765,700 | 194,765,700 | |
| Increase during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Public Issues | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Rights issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Bonus issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Private Placement/ Preferential allotment | 0 | 0 | 0 | 0 | 0 | 0 |
| v. ESOPs | 0 | 0 | 0 | 0 | 0 | 0 |
| vi. Sweat equity shares allotted | 0 | 0 | 0 | 0 | 0 | 0 |
| vii. Conversion of Preference share | 0 | 0 | 0 | 0 | 0 | 0 |
| viii. Conversion of Debentures | 0 | 0 | 0 | 0 | 0 | 0 |
| ix. GDRs/ADRs | 0 | 0 | 0 | 0 | 0 | 0 |
| x. Others, specify | | | | | | |
| | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Buy-back of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|-------------------------------------|-----------|---|---------|-------------|-------------|---|
| iv. Others, specify | | | | | | |
| | | | | | | |
| At the end of the year | 1,947,657 | 0 | 1947657 | 194,765,700 | 194,765,700 | |
| Preference shares | | | | | | |
| At the beginning of the year | 0 | 0 | 0 | 0 | 0 | |
| Increase during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Issues of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Re-issue of forfeited shares | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Others, specify | | | | | | |
| | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Redemption of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify | | | | | | |
| | | | | | | |
| At the end of the year | 0 | 0 | 0 | 0 | 0 | |

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

| Class of shares | | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares | | | |
| | Face value per share | | | |
| After split / Consolidation | Number of shares | | | |
| | Face value per share | | | |

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

| | |
|---|---|
| Date of the previous annual general meeting | <input type="text" value="26/03/2021"/> |
|---|---|

| | |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

| | | |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

| | | | |
|---|----------------------|---|----------------------|
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
|---|----------------------|---|----------------------|

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferor | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferee | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

| | |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

| | | |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

| | | | |
|---|----------------------|---|----------------------|
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
|---|----------------------|---|----------------------|

| | | | | |
|----------------------------|----------------------|----------------------|----------------------|--|
| Ledger Folio of Transferor | | <input type="text"/> | | |
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> | |
| | Surname | middle name | first name | |
| Ledger Folio of Transferee | | <input type="text"/> | | |
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> | |
| | Surname | middle name | first name | |

(iv) *Debentures (Outstanding as at the end of financial year)

| Particulars | Number of units | Nominal value per unit | Total value |
|-------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 |
| Total | | | 0 |

Details of debentures

| Class of debentures | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|--------------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures | 0 | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 | 0 |

(v) Securities (other than shares and debentures)

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|----------------------|----------------------------|----------------------|
| | | | | | |
| | | | | | |
| Total | <input type="text"/> | | <input type="text"/> | | <input type="text"/> |

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

38,028,020,000

(ii) Net worth of the Company

16,567,280,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

| S. No. | Category | Equity | | Preference | |
|--------|---|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 1,399,697 | 71.87 | 0 | |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | |
| | (ii) State Government | 0 | 0 | 0 | |
| | (iii) Government companies | 0 | 0 | 0 | |
| 3. | Insurance companies | 0 | 0 | 0 | |
| 4. | Banks | 0 | 0 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |
| 6. | Foreign institutional investors | 0 | 0 | 0 | |
| 7. | Mutual funds | 0 | 0 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 0 | 0 | 0 | |
| 10. | Others | 0 | 0 | 0 | |
| | Total | 1,399,697 | 71.87 | 0 | 0 |

Total number of shareholders (promoters)

5

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

| S. No. | Category | Equity | | Preference | |
|--------|----------|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |

| | | | | | |
|-----|---|---------|-------|---|---|
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 384,128 | 19.72 | 0 | |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | |
| | (ii) State Government | 0 | 0 | 0 | |
| | (iii) Government companies | 0 | 0 | 0 | |
| 3. | Insurance companies | 0 | 0 | 0 | |
| 4. | Banks | 0 | 0 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |
| 6. | Foreign institutional investors | 0 | 0 | 0 | |
| 7. | Mutual funds | 0 | 0 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 163,832 | 8.41 | 0 | |
| 10. | Others | 0 | 0 | 0 | |
| | Total | 547,960 | 28.13 | 0 | 0 |

Total number of shareholders (other than promoters)

7

**Total number of shareholders (Promoters+Public/
Other than promoters)**

12

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

| Details | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters | 5 | 5 |
| Members (other than promoters) | 6 | 7 |
| Debenture holders | 0 | 0 |

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

| Category | Number of directors at the beginning of the year | | Number of directors at the end of the year | | Percentage of shares held by directors as at the end of year | |
|--|--|---------------|--|---------------|--|---------------|
| | Executive | Non-executive | Executive | Non-executive | Executive | Non-executive |
| A. Promoter | 2 | 0 | 2 | 0 | 43.98 | 0 |
| B. Non-Promoter | 0 | 2 | 0 | 2 | 0 | 15.3 |
| (i) Non-Independent | 0 | 2 | 0 | 2 | 0 | 15.3 |
| (ii) Independent | 0 | 0 | 0 | 0 | 0 | 0 |
| C. Nominee Directors representing | 0 | 1 | 0 | 1 | 0 | 0 |
| (i) Banks & FIs | 0 | 0 | 0 | 0 | 0 | 0 |
| (ii) Investing institutions | 0 | 0 | 0 | 0 | 0 | 0 |
| (iii) Government | 0 | 0 | 0 | 0 | 0 | 0 |
| (iv) Small share holders | 0 | 0 | 0 | 0 | 0 | 0 |
| (v) Others | 0 | 1 | 0 | 1 | 0 | 0 |
| Total | 2 | 3 | 2 | 3 | 43.98 | 15.3 |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

5

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

| Name | DIN/PAN | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|-------------------|----------|--------------------|--------------------------------|--|
| BALASUBRAMANIAN F | 01428366 | Managing Director | 278,261 | |
| BALASUBRAMANIYAN | 01428395 | Whole-time directo | 578,261 | |
| PAURUSH ROY | 03038347 | Director | 0 | |
| ANSHUMAN PATNAIK | 00600573 | Director | 148,956 | |
| ANURAG PATNAIK | 00600639 | Director | 148,955 | |

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

| Name | DIN/PAN | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|------|---------|--|---|--|
| | | | | |

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

| Type of meeting | Date of meeting | Total Number of Members entitled to attend meeting | Attendance | |
|-----------------|-----------------|--|----------------------------|-------------------------|
| | | | Number of members attended | % of total shareholding |
| EGM | 24/06/2020 | 11 | 4 | 53.4 |
| AGM | 26/03/2021 | 12 | 5 | 62.9 |

B. BOARD MEETINGS

*Number of meetings held

4

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance | |
|--------|-----------------|--|------------------------------|-----------------|
| | | | Number of directors attended | % of attendance |
| 1 | 20/05/2020 | 5 | 5 | 100 |
| 2 | 30/09/2020 | 5 | 5 | 100 |
| 3 | 30/12/2020 | 5 | 4 | 80 |
| 4 | 26/03/2021 | 5 | 5 | 100 |

C. COMMITTEE MEETINGS

Number of meetings held

2

| S. No. | Type of meeting | Date of meeting | Total Number of Members as on the date of the meeting | Attendance | |
|--------|-----------------|-----------------|---|----------------------------|-----------------|
| | | | | Number of members attended | % of attendance |
| 1 | CSR COMMIT | 20/05/2020 | 2 | 2 | 100 |
| 2 | SHARE TRAN | 14/09/2020 | 2 | 2 | 100 |

D. *ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings | | | Committee Meetings | | | Whether attended AGM held on |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|------------------------------|
| | | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | 30/11/2021 |
| | | | | | | | | (Y/N/NA) |
| 1 | BALASUBRAMANIAN | 4 | 4 | 100 | 2 | 2 | 100 | Yes |
| 2 | BALASUBRAMANIAN | 4 | 4 | 100 | 2 | 2 | 100 | Yes |
| 3 | PAURUSH RAO | 4 | 4 | 100 | 0 | 0 | 0 | Yes |
| 4 | ANSHUMAN PATNAIK | 4 | 3 | 75 | 0 | 0 | 0 | Yes |
| 5 | ANURAG PATNAIK | 4 | 4 | 100 | 0 | 0 | 0 | Yes |

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/Sweat equity | Others | Total Amount |
|--------|-----------------|---------------------|--------------|------------|---------------------------|--------|--------------|
| 1 | BALASUBRAMANIAN | Managing Director | 60,000,000 | 0 | 0 | 0 | 60,000,000 |
| 2 | BALASUBRAMANIAN | Whole-time Director | 60,000,000 | 0 | 0 | 0 | 60,000,000 |
| | Total | | 120,000,000 | 0 | 0 | 0 | 120,000,000 |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/Sweat equity | Others | Total Amount |
|--------|-------|-------------|--------------|------------|---------------------------|--------|--------------|
| 1 | | | | | | | 0 |
| | Total | | | | | | |

Number of other directors whose remuneration details to be entered

2

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/Sweat equity | Others | Total Amount |
|--------|------------------|-------------|--------------|------------|---------------------------|--------|--------------|
| 1 | ANSHUMAN PATNAIK | Director | 12,000,000 | 0 | 0 | 0 | 12,000,000 |
| 2 | ANURAG PATNAIK | Director | 12,000,000 | 0 | 0 | 0 | 12,000,000 |
| | Total | | 24,000,000 | 0 | 0 | 0 | 24,000,000 |

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

For the financial year 2019-20, the Company conducted the Annual General Meeting on 26th March, 2021, which was after the due date Annual General Meeting. The Company has applied for the Compounding of the said violation. The said violation has been compounded on Vide Order No. CA

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
| | | | | | |

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
| | | | | | |

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Digitally signed by
BALASUBRAMANIAN
PRABHAKARAN
Date: 2022.01.19
16:14:12 +0530'

DIN of the director

To be digitally signed by

Digitally signed by
CHITTARANJAN
NJAN JENA
Date: 2022.01.19
17:42:06 +0530'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

TEMPL Shareholding Pattern 31032021.pdf
ROC AGM Extension Letter.pdf
TEMPL Equity Share Transfer Details 2020
TEMPL MGT-8.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

MINISTRY OF CORPORATE AFFAIRS**RECEIPT****G.A.R.7****SRN :** T73082059**Service Request Date :** 19/01/2022**Payment made into :** Union Bank Of India**Received From :****Name :** CHITTARANJAN JENA**Address :** Plot No.: 365/821, Bhilai Pahari Village,
Jagannathpur, Dimna Chowk to Ghatsila Road, NH-33, East Singhbhum,
Jamshedpur, Jharkhand
IN - 831012**Entity on whose behalf money is paid****CIN:** U60231TZ1999PTC008876**Name :** THRIVENI EARTHMOVERS PRIVATE LIMITED**Address :** NO 22/110GREENWAYS ROAD
FAIRLANDS
SALEM, Tamil Nadu
India - 636016**Full Particulars of Remittance****Service Type:** eFiling

| Service Description | Type of Fee | Amount(Rs.) |
|--|-------------|---------------|
| Fee for Form MGT-7 for the financial year ending on 2021 | Normal | 600.00 |
| Total | | 600.00 |

Mode of Payment: Internet Banking - Union Bank Of India**Received Payment Rupees:** Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

THRIVENI EARTHMOVERS PRIVATE LIMITED

A World Class Mine Developer Operator

CIN NO :U60231TZ1999PTC008876

THRIVENI EARTHMOVERS PRIVATE LIMITED

LIST OF SHAREHOLDERS AS ON 31ST MARCH, 2021



| SL. NO | NAME OF SHAREHOLDERS | NO. OF SHARES | PER VALUE OF SHARES (RS.) | TOTAL VALUE OF SHARES (RS.) | PERCENTAGE OF SHARES |
|--------------|--|------------------|---------------------------|-----------------------------|----------------------|
| 1 | Balasubramanian Prabhakaran | 278,261 | 100.00 | 27,826,100.00 | 14.2870 |
| 2 | Balasubramanian Karthikeyan | 578,261 | 100.00 | 57,826,100.00 | 29.6901 |
| 3 | Balasubramanian Vasuki | 243,115 | 100.00 | 24,311,500.00 | 12.4824 |
| 4 | Palanivelugounder Balasubramanian | 60 | 100.00 | 6,000.00 | 0.0031 |
| 5 | Prabhakaran Sooryanarayanan | 300,000 | 100.00 | 30,000,000.00 | 15.4031 |
| 6 | Anshuman Patnaik | 148,956 | 100.00 | 14,895,600.00 | 7.6480 |
| 7 | Anurag Patnaik | 148,955 | 100.00 | 14,895,500.00 | 7.6479 |
| 8 | North Country Investments, Mauritius | 70,658 | 100.00 | 7,065,800.00 | 3.6278 |
| 9 | Aryan Mining & Trading Corpn Private Limited | 93,174 | 100.00 | 9,317,400.00 | 4.7839 |
| 10 | Indrani Patnaik | 86,207 | 100.00 | 8,620,700.00 | 4.4262 |
| 11 | Sudhir Kumar Sinha | 5 | 100.00 | 500.00 | 0.0003 |
| 12 | Himangini Singh | 5 | 100.00 | 500.00 | 0.0003 |
| Total | | 1,947,657 | | 194,765,700.00 | 100.0000 |

For THRIVENI EARTHMOVERS PRIVATE LIMITED

Chittaranjan Jena
Chittaranjan Jena
Company Secretary
ICSI Membership No.: A25067



- ▶ CO: At - Unchabali, P.O.: Bamebari, Dist.: Keonjhar, Odisha - 758 086 | E-mail: orissa@thriveni.com
- ▶ HO: 22/110, Greenways Road, Fairlands, Salem, Tamilnadu - 636 016 | E-mail: info@thriveni.com
- ▶ Site: NH 33, Jagannathpur, Jamshedpur, East Singhbhum, Jharkhand-831012 Ph: 0657-6654162

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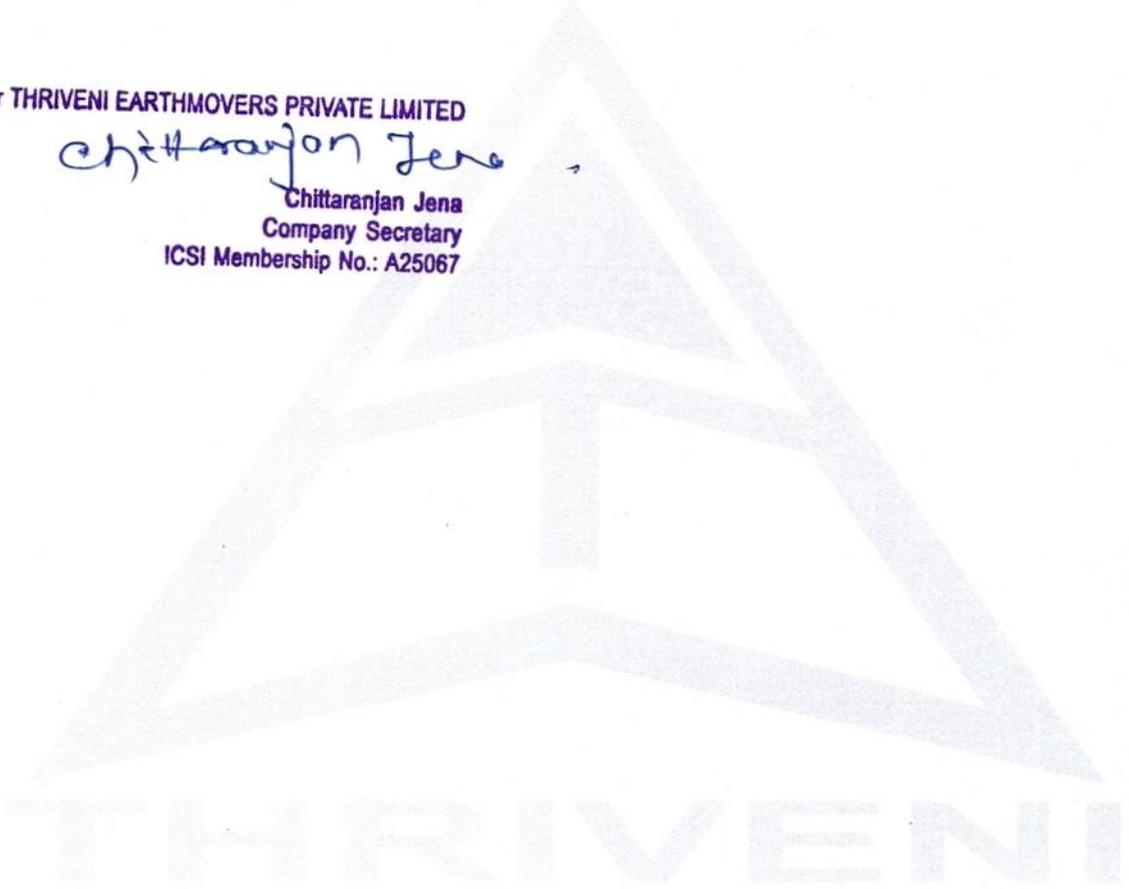
EQUITY SHARE TRANSFER DETAILS FOR THE YEAR 2020-21

| Date of Lodgments of transfer | Ledger folio. | Name of Shareholder (Transferor) | No. of shares transferred | Certificate Nos. | Name of shareholder (Transferee) |
|-------------------------------|---------------|----------------------------------|---------------------------|------------------|----------------------------------|
| 14.09.2020 | 48 | Mr. Sudhir Kumar Sinha | 5 | 161 | Mrs. Himangini Singh |

For THRIVENI EARTHMOVERS PRIVATE LIMITED

Chittaranjan Jena

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Company Secretary
ICSI Membership No.: A25067



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AJIT SAHU & ASSOCIATES

COMPANY SECRETARIES

Form No. MGT-8

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of
Companies (Management and Administration) Rules, 2014]
CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records, books and papers of **Thriveni Earthmovers Private Limited** as required to be maintained under the Companies Act, 2013 (the Act) the rules made thereunder for the financial year ended on 31.03.2021. In my opinion and to the best of my information and according to the examinations carried out by me explanations furnished to me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies in the prescribed time or within the extended time with payment of additional fees.
4. Calling/ convening/ holding meetings of Board of Directors and its committees on due dates as stated in the annual return in respect which meetings, notices were given and the proceedings have been recorded in the Minute Book/registers maintained for the purpose.





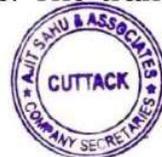
AJIT SAHU & ASSOCIATES

COMPANY SECRETARIES

Under Scrutiny it was found that there is a gap of more than 120 days between 203rd Board meeting and 204th Board meeting. Due to Covid pandemic situation and vide Ministry's Circular No 11/2020 the maximum gap in between two board meeting was extended upto 180 days. So there is no violation of Section 173 Of the Companies Act 2013.

Further, it was found that the 21st Annual General Meeting (AGM) for the financial year ending 31/03/2020 was held on 26/03/2021. The meeting was conducted virtually with a shorter notice. The 21st Annual general meeting was conducted beyond the due date as extended by Registrar of Companies vide General circular of Ministry of Corporate Affairs Circular No 20/2020 upto 31/12/2020 for the financial year ending 31/03/2020. The Company has applied for the Compounding of the said violation. The said violation has been compounded and the Company has paid the Compounding fee as directed by the Regional Director (SR).

5. Register of Members is not required to be closed by the Company.
6. During the year, the Company has not made any advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act.
7. The company has entered into transaction with related parties prescribed under section 188 of the Companies Act, 2013 read with Companies (Meeting of Board & its powers) Rules, 2014 which is in the ordinary course of business.
8. There was no issue or allotment or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities. The transfer of shares is in accordance with the provisions of the Act.





AJIT SAHU & ASSOCIATES

COMPANY SECRETARIES

9. The Company was not required to keep in abeyance the rights to dividend, Rights Shares and Bonus Shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. The company was not required to comply with provision of Declaration/ payment of dividend; the company is not required to transfer of unpaid/ unclaimed dividend/other amounts to the Investor Education and Protection Fund in accordance with section 125 of the Act.
11. The Audited Financial Statements were duly signed as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.
12. During the year, constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them are in compliance with the provisions of the Act.;
13. During the year, appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions section 139 of the Act.
14. The Company has taken necessary approval of the Regional Director (SR) for the condonation of delay in holding the Annual General Meeting for the financial year ended 2019-20 on 26th March, 2021. There are no other approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company has not accepted any deposit during the year.





AJIT SAHU & ASSOCIATES

COMPANY SECRETARIES

16. During the financial year, the Company has borrowed money from public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, is in compliance with the provisions of the Act.
17. The Company has granted Loans to related parties and made investment in subsidiaries, the same is in compliance with the provisions of the Companies Act, 2013 and rules made thereunder.
18. There was no alteration of the provisions in the Memorandum and/ or Articles of Association of the Company.

Place: Cuttack
Date: 18.01.2022

For: Ajit Sahu & Associates
Company Secretaries



Ajit Kumar Sahu
CP No: 16035

UDIN: A039662C002201822